SOUTHERN DISTRICT OF NEW YORK	V
MICHAEL GRAUER,	-X :
Plaintiff,	: :
- against -	: 07 Civ. 5450 (LAP) (DCF)
UBS FINANCIAL SERVICES INC., THOMAS REICHERT, and BARRY CONNELL,	: : MOTION TO ADMIT : THOMAS M. KNEPPER
Defendants.	: THOMAS M. KNEPPER : PRO HAC VICE
UBS FINANCIAL SERVICES INC., THOMAS REICHERT, and BARRY CONNELL,	-X : :
Counterclaim Plaintiffs,	
- against -	
MICHAEL GRAUER,	
Counterclaim Defendant.	

**PURSUANT TO RULE 1.3(c)** of the Local Rules of the United States District Courts for the Southern and Eastern Districts of New York, I, Andrew S. Goodstadt, a member of good standing of the bar of this Court, hereby move for an Order allowing the admission *pro hac vice* of

Thomas M. Knepper Knepper & Gladney 150 North Wacker Drive Suite 3050 Chicago, Illinois 60606 (312) 957-1300 (Telephone) (312) 957-1070 (Fax) tk@kg-legal.com

to represent Defendants/Counterclaim Plaintiffs Thomas Reichert and Barry Connell ("Individual Defendants") in this matter.

Mr. Knepper is a member in good standing of the Bar of the State of Illinois, the Seventh, Eighth, Tenth and Eleventh Circuit Court of Appeals, the Eastern District of Wisconsin, the Northern and Central Districts of Illinois, the Southern District of Indiana, the Northern District of Texas, the Central District of Florida, the District of Iowa, the District of Colorado and the District of Nebraska. There are no pending disciplinary proceedings against Mr. Knepper in any State or Federal Court.

Document 65

Dated: New York, New York August 12, 2008

Respectfully submitted,

THOMPSON WIGDOR & GILLY LLP

Andrew S. Goodstadt (AG-2760)

85 Fifth Avenue

Fifth Floor

New York, New York 10003

Tel: (212) 257-6800 Fax: (212) 257-6845

Counsel for Defendant and Counterclaim Plaintiff UBS Financial Services, Inc.

MICHAEL GRAUER,

AFFIDAVIT OF ANDREW S. GOODSTADT IN SUPPORT OF THE **MOTION TO ADMIT** THOMAS M. KNEPPER PRO HAC VICE

Andrew S. Goodstadt, affirms under the penalties of perjury as follows:

Counterclaim Defendant.

- 1. I am a member of the law firm Thompson Wigdor & Gilly LLP, attorneys for Defendant/Counterclaim Plaintiff UBS Financial Services, Inc. ("UBSFS"), and as such, am personally familiar with the proceedings in this case.
- 2. I make this affidavit based on my personal knowledge of the facts set forth herein and in support of UBSFS' motion to admit Thomas M. Knepper as counsel pro hac vice to represent Defendants/Counterclaim Plaintiffs Thomas Reichert and Barry Connell ("Individual Defendants") in this matter.

- 3. I am a member in good standing of the bar of the State of New York and was admitted to practice law in May 1998. I am also admitted to the bar of the United States District Court for the Southern District of New York and am good standing with this Court.
- 4. Mr. Knepper is a member of the firm Knepper & Gladney, located at 150 North Wacker Drive, Suite 3050, Chicago, Illinois 60606.
- 5. I believe Mr. Knepper is a skilled attorney and a person of integrity. He is experienced in Federal Practice and is familiar with the Federal Rules of Civil Procedure.
  - 6. Accordingly, I am pleased to move for the admission of Mr. Knepper, pro hac vice.
- 7. Mr. Knepper has never been disciplined by any Bar Association and there are no disciplinary proceedings pending against him. He has never been convicted of any felony or misdemeanor criminal violation.
- 8. I respectfully submit a proposed order granting the admission of Ms. Gladney, pro hac vice, which is attached hereto as Exhibit A.
- 9. If admitted pro hac vice, Mr. Knepper shall adhere to the disciplinary jurisdiction of this Court.

WHEREFORE, it is respectfully requested that the motion to admit Thomas M. Knepper *pro hac vice*, to represent Individual Defendants in the above-captioned matter, be granted.

Dated: New York, New York August 12, 2008

Respectfully submitted,

THOMPSON WIGDOR & GILLY LLP

Andrew S. Goodstadt (AG-2760)

85 Fifth Avenue

Fifth Floor

New York, New York 10003

Tel: (212) 257-6800 Fax: (212) 257-6845

Counsel for Defendant and Counterclaim Plaintiff UBS Financial Services, Inc.

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK	v
MICHAEL GRAUER,	;
Plaintiff,	:
- against -	: 07 Civ. 5450 (LAP) (DCF)
UBS FINANCIAL SERVICES INC., THOMAS REICHERT, and BARRY CONNELL,	: : :
Defendants.	: ORDER FOR : ADMISSION ON -X WRITTEN PRO HAC
UBS FINANCIAL SERVICES INC., THOMAS REICHERT, and BARRY CONNELL,	: VICE MOTION : TO ADMIT
Counterclaim Plaintiffs,	THOMAS M. KNEPPER
- against -	: : :
MICHAEL GRAUER,	:
Counterclaim Defendant.	· : -X

Document 65

Upon the motion of Andrew S. Goodstadt, attorney for Defendant/Counterclaim Plaintiff UBS Financial Services, Inc. ("UBSFS"), and Mr. Goodstadt's affidavit in support;

## IT IS HEREBY ORDERED that

Thomas M. Knepper Knepper & Gladney 150 North Wacker Drive **Suite 3050** Chicago, Illinois 60606 (312) 957-1300 (Telephone) (312) 957-1070 (Fax) tk@kg-legal.com

is admitted to practice pro hac vice as counsel for Defendants/Counterclaim Plaintiffs Thomas Reichert and Barry Connell ("Individual Defendants") in the above captioned case in the United States District Court for the Southern District of New York. All attorneys appearing before this Court are subject to the Local Rules of this Court, including the Rules governing discipline of attorneys. If this action is assigned to the

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Electronic Case Filing (ECF) system, counsel shall immediately apply for an ECF password at <a href="http://nysd.uscourts.gov">http://nysd.uscourts.gov</a>. Counsel shall forward the *pro hac vice* fee to the Clerk of Court.

Dated: New York, New York August \_\_\_, 2008

United States District/Magistrate Judge



## ATTORNEY REGISTRATION AND DISCIPLINARY COMMISSION of the SUPREME COURT OF ILLINOIS

One Prudential Plaza 130 East Randolph Drive, Suite 1500 Chicago, IL 60601-6219 (312) 565-2600 (800) 826-8625 Fax (312) 565-2320

One North Old Capitol Plaza, Suite 333 Springfield, IL 62701 (217) 522-6838 (800) 252-8048 Fax (217) 522-2417

Thomas Michael Knepper Knepper & Gladney LLP P.O. Box 262 Georgetown, IL 61846

> Chicago Thursday, July 17, 2008

In re: Thomas Michael Knepper Admitted: 10/27/1972 Attorney No. 1490850

To Whom It May Concern:

The records of the Clerk of the Supreme Court of Illinois and of this office indicate that the attorney named above was admitted to the practice of law in Illinois; is currently registered on the master roll of attorneys entitled to practice law in this state; and has never been disciplined; and is in good standing.

Very truly yours, Jerome Larkin Administrator

Thomas W. Peters
Registrar

TWP:ao

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK	
MICHAEL GRAUER, :	
Plaintiff, :	
- against - :	07 Civ. 5450 (LAP) (DCF)
UBS FINANCIAL SERVICES INC., : THOMAS REICHERT, and BARRY CONNELL, : Defendants. :	AFFIDAVIT OF SERVICE
UBS FINANCIAL SERVICES INC., : THOMAS REICHERT, and BARRY CONNELL, :	
Counterclaim Plaintiffs,	
- against -	
MICHAEL GRAUER, :	
Counterclaim Defendant. :	
STATE OF NEW YORK ) )ss.: COUNTY OF NEW YORK )	

Patrick J. Scanlan, being duly sworn, deposes and says:

- 1. I am not a party to the action
- 2. I am over 18 years of age.
- 3. On August 12, 2008 I served the Affirmation of Andrew S. Goodstadt in Support of the Motion to Admit Thomas M. Knepper Pro Hac Vice, the Motion to Admit Thomas M. Knepper Pro Hac Vice, and the Order for Admission on Written Pro Hac Vice Motion to Admit Thomas M. Knepper on Janice Goodman, 275 Seventh Avenue, Suite 2300 New York, NY 10001 by delivering a true copy of the same by hand delivery and electronic mail.

Sworn to before me this 12<sup>th</sup> of August, 2008

Patrick J/Scanlar

Notary Public

Notary Public, State of New York No. 011R6140071 Qualified in New York County Commission Expires Jan. 17 2016